

# CASH ISA NEW SUBSCRIPTIONS AND CASH ISA TRANSFER FORM.

Cash ISA new subscription applications must be received by 13 August 2010.  
 Cash ISA transfer applications must be received by 30 July 2010.

2010/2011 TAX YEAR – NEW SUBSCRIPTIONS

## FOR OFFICE USE

Receipt Number	BC	Deal Reference	Client Number	Launch Fund
				MR4

## FOR ADVISER USE

L&G Agent Number	Adviser Name	FSA Number	Adviser Company and Address
If you would like to sacrifice commission, please confirm how much %			

## NOTES FOR ADVISERS – VERIFICATION OF IDENTITY

The verification of identity declaration can only be used if you have fully verified the customer(s). You cannot use the declaration if the customer falls into one of the following categories:

- Those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
- Those who have been subject to Simplified Due Diligence under Money Laundering Regulations; or
- Those whose identity has been verified using the source of funds as evidence.

For verification of third party donors, please use the Confirmation of Verification of Identity certificate on our Adviser Centre.

For cash ISA transfers please note, if you hold a cash ISA for the current tax year or a cash ISA for previous tax years with another account manager, you can transfer this to the Royal Deposit Plan 4. If you are transferring an ISA, please do not send money with this application. This form will act as authorisation to your existing ISA manager to transfer your investment to Legal & General. To participate in this offer the proceeds of your existing ISA(s) must be received by 13 August 2010. If received after this date the proceeds will not be accepted and will be automatically returned to your existing ISA manager.

**Before completing this application form, please ensure you have read the Terms and Conditions and the ISA Key Features. If you do not understand any point please ask for further information. The Terms and Conditions form the basis of our agreement with you.**

**PLEASE COMPLETE IN BLOCK CAPITALS**

## 1 PERSONAL DETAILS

Title	Mr <input type="checkbox"/>	Mrs <input type="checkbox"/>	Miss <input type="checkbox"/>	Ms <input type="checkbox"/>	Other <input type="checkbox"/>	Male <input type="checkbox"/>	Female <input type="checkbox"/>						
Surname			Full forename(s)										
Permanent residential address							Postcode	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Email address							Daytime phone number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Previous address	If you have lived at this address for less than three months, please provide your previous address details here.												
							Postcode	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Date of birth	D	D	M	M	Y	Y	Y	Y					
Do you have a National Insurance number?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	If Yes, please enter it here		<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>



**1 PERSONAL DETAILS CONTINUED**

Do you have an existing Legal & General account?

Yes  No  If Yes, please enter client number

Legal & General employee  Legal & General shareholder  Relative of Legal & General employee/shareholder\*

\*If you have ticked this box, please write the name of the Legal & General employee/shareholder below.

**2 BANK/BUILDING SOCIETY ACCOUNT DETAILS – PLEASE COMPLETE THIS SECTION**

Please provide details of your bank/building society account in order that we can make any payments, either on your request or at the payment date as stated in the Terms and Conditions at the end of the fixed term.

Name of bank/building society

Address

Postcode

Name of account holder

Sort code

Account number

Roll number (if applicable)

**3 YOUR INVESTMENT**

**3.1 Cash ISA new subscription**

I wish to subscribe for a cash ISA for the tax year 2010/2011.

I wish to invest £

The minimum investment is £3,600.

**3.2 Existing cash ISA transfer request**

Please check with your existing provider to see if there are any penalties for, or restrictions to, transferring your existing cash ISAs.

If your accounts are held by different account managers please complete a separate application form for each.

**If transferring current and previous tax years ISAs, please complete both sections.**

**A. Current tax year (2010/2011) cash ISA to be transferred?**

Yes  transfer value of your cash ISA £

The minimum transfer amount is £3,600.

**You must transfer the whole of this year's cash ISA.**

**B. Previous tax year subscriptions to be transferred?**

Do you wish to transfer all or part of your existing cash ISA? (Please tick as appropriate)

All  If you tick 'All' your account will be cleared and any interest will be sent to Legal & General

OR

Part  please state how much you wish to transfer £  or  %

The minimum transfer amount is £3,600.

If your transfer relates to a specific tax year(s)/account number(s) please give details here:

Existing ISA manager name

Address

Postcode

Telephone number

Your investment can be paid either by cheque or by debit card.

**How do you want to pay for your investment?**

**By cheque**

Please make your cheque for the total amount payable to Legal & General. Cheques should be drawn on your personal account. For banker's drafts/building society cheques, please ask the bank/building society to print your name as the account holder. Please remember to attach your cheque to this application.

**By debit card**

Please give details in full below. You should only complete these details if sufficient cleared funds are in your account to cover the investment amount, otherwise your application will be rejected. Please note that we cannot accept payment by Solo or Electron. We will not be able to process your debit card payment if the address held by your bank/building society is different to that given in Section 1.

Visa Debit  Maestro  Issue number (if applicable)

Card number  
(16, 18 or 19 digits)

Start date (if applicable) M M Y Y Expiry date M M Y Y

Name as it appears on  
your card

Sort code

Account number

**CASH ISA NEW SUBSCRIPTION AND CURRENT TAX YEAR CASH ISA TRANSFERS: Declaration**

- I have not subscribed, and will not subscribe more than the overall subscription limits in total to a cash ISA and a stocks and shares ISA in the same tax year.
- I have not subscribed, and will not subscribe more than the cash ISA subscription limit to one cash ISA.
- I have not subscribed, and will not subscribe to another cash ISA in the same tax year that I subscribe to this cash ISA.
- I am resident and ordinarily resident in the United Kingdom for tax purposes, or if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a registered civil partnership with, a person who performs such duties. I will inform Legal & General if I cease to be resident and ordinarily resident or to perform such duties or be married to, or in a registered civil partnership with, a person who performs such duties.

Please note: Details about residence and being ordinarily resident are available from your tax office or on HM Revenue & Customs' website.

**ALL APPLICANTS: Declaration**

I declare that:

- all subscriptions made, and to be made, belong to me.
- I am 18 years of age or over.

I authorise Legal & General:

- to hold my cash subscription, ISA investments, interest and any other rights or proceeds in respect of those investments and any other cash, and
- to make on my behalf any claims to relief from tax in respect of ISA investments.

I declare that the information given in this declaration is true and correct to the best of my knowledge and belief and that I will inform Legal & General without delay of any change to my circumstances affecting any of the information in this form.

**IMPORTANT, PLEASE READ: Data Protection**

**Use of your information:**

Legal & General takes your privacy very seriously. We use the personal information collected via this form and any other information that you provide to us ('your information') for the purposes of:

1. providing you with our products and services and dealing with your enquiries and requests,
2. administering your investment,
3. carrying out market research, statistical analysis and customer profiling, and
4. sending you marketing information (by post, telephone, email and SMS) about products and services of companies in the Legal & General group and of third parties whose products and services Legal & General offers to its customers.

By signing overleaf, you agree to receive the information as described in 4 above, unless you tell us otherwise by ticking this box .

Given the global nature of our business, we may need to transfer your information to countries outside the European Economic Area in order to provide our services to you.

**Disclosures:**

We will disclose your information to other companies within the Legal & General group of companies, regulatory bodies, law enforcement agencies, future owners of our business and suppliers we engage to process data on our behalf. If you have been dealing with a financial adviser, we will give them information about your product and, where appropriate, provide them with other information about your dealings with us to enable them to give you informed advice.

Where you have been introduced to us by a bank or a building society, we will share your information with them to enable them to:

- (a) carry out market research, statistical analysis and customer profiling, and
- (b) send you marketing information about their products and services and products and services of companies in the Legal & General group and of third parties whose products and services Legal & General offers to its customers.

By signing below, you agree to receive the information as described in (b) above by post or telephone, unless you tell us otherwise by writing to Legal & General Retail Investments, Customer Services, PO Box 1004, Cardiff CF24 0YS.

**Access:**

You have the right to ask for a copy of your information in return for payment of a small fee. To obtain a copy of your information, please write to us at the above address.

I agree to the use of my information as set out above.

I understand that a copy of the Terms and Conditions and completed application form is available on request.

I have read the Terms and Conditions, the Royal Deposit Plan 4 ISA Key Features and the declaration carefully. I agree that the Terms and Conditions form the basis of my agreement with Legal & General.

**ALL APPLICANTS SIGN HERE**

<b>Signature</b>	<b>Date</b>	D	D		M	M		Y	Y	Y	Y
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**IF YOU ARE TRANSFERRING EXISTING ISAs PLEASE COMPLETE, SIGN AND DATE THE TRANSFER DECLARATION****INSTRUCTION TO EXISTING ISA MANAGER(S)**

1. I instruct the manager of the ISA shown above to realise my account as detailed above and send a cheque for the proceeds, so they are received by 13 August 2010 at the latest to Legal & General Retail Investments, Customer Services, PO Box 1004, Cardiff, CF24 0YS. HM Revenue & Customs Ref Z1160.
2. All interest and tax credits arising after the transfer should be made payable directly to me.
3. I authorise my existing ISA Manager to provide Legal & General (Portfolio Management Services) Limited with any information, written or non-written, concerning the ISA and to accept any instructions from them relating to the ISA being transferred.

NOTE: By signing this declaration you are consenting to a copy of this form being provided to your existing ISA manager in order to demonstrate that we have your consent to the transfer.

**ISA TRANSFER APPLICANTS SIGN HERE**

<b>Signature</b>	<b>Date</b>	D	D		M	M		Y	Y	Y	Y
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**Please ensure you have completed Section 3 with your ISA transfer details.**

Please send to your financial adviser or to: Legal & General Retail Investments, Customer Services, PO Box 1004, Cardiff CF24 0YS.

**FOR ADVISER USE ONLY – VERIFICATION OF IDENTITY**

This is not applicable to Legal & General appointed representatives or employees.

I confirm the personal details listed in Section 1 were obtained by me. I have seen evidence to verify the identity of all applicants that meets or exceeds the standards set out within JMLSG guidance. Details of any evidence exceeding the guidance are attached.

<b>Name</b>	<b>Job title</b>
<b>Signature</b>	<b>Date</b>
	D
	D
	M
	M
	Y
	Y
	Y
	Y



[www.legalandgeneral.com](http://www.legalandgeneral.com)

**Legal & General (Portfolio Management Services) Limited**

Registered in England No. 2457525

**Registered office:** One Coleman Street, London EC2R 5AA

We are authorised and regulated by the Financial Services Authority.

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